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jc772 U.S. PTO

**NONPROVISIONAL PATENT
APPLICATION TRANSMITTAL RULE §1.53(b)
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Docket No. P107400-00016

Date: October 26, 2000

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09/696013

10/26/00

Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a nonprovisional patent application:

For (Title): FERROMAGNETIC ZnO-TYPE COMPOUND INCLUDING TRANSITION
METALLIC ELEMENT AND METHOD FOR ADJUSTING
FERROMAGNETIC CHARACTERISTICS THEREOF

By (Inventors): Hiroshi YOSHIDA; Kazunori SATO

- ☒ 25 pages of Specification is attached.
☒ Drawings (4 sheets) is attached.
☒ A Declaration and Power of Attorney is attached.
☒ An assignment of the invention to ROHM CO., LTD. is attached, along with
Form PTO-1595 and a check for \$40.00.
☒ A certified copy of Japanese application(s) No.(s) 11-308911; dated October 29, 1999

The filing fee is calculated below and includes claim status after entry of any Preliminary
Amendment noted above:

			SMALL ENTITY			LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	OR	RATE	FEE
BASIC FEE				\$ 355	OR		\$ 710
TOTAL CLAIMS	10 - 20	= 0	x 9 =		OR	x 18	\$ 0
INDEP CLAIMS	3 - 3	= 0	x 40 =		OR	x 80	\$ 0
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS			+135 =		OR	+270	\$ 0
			TOTAL		OR	TOTAL	\$ 710

- ☒ A Check No. 303626 in the amount of \$750.00 is attached. Please charge any fee
deficiency or credit any overpayment to Deposit Account No. 01-2300.

Respectfully submitted,

George E. Oram, Jr.
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S P E C I F I C A T I O N

BE IT KNOWN THAT We, HIROSHI YOSHIDA residing at 82-4, Daiwahigashi 2-chome, Kawanishi-shi, Hyogo, Japan and KAZUNORI SATO residing at Daiichihukuwaso A-12, 2-36, Makiochi 5-chome, Minoh-shi, Osaka, Japan, subjects of Japan, have invented certain new and useful improvements in

FERROMAGNETIC ZnO-TYPE COMPOUND INCLUDING TRANSITION
METALLIC ELEMENT AND METHOD FOR ADJUSTING
FERROMAGNETIC CHARACTERISTICS THEREOF

of which the following is a specification:-

5

The present invention relates to a single-crystalline ferromagnetic ZnO-type compound realizing ferromagnetic characteristics by crystal-mixing at least one of transition elements such as V, Cr, Fe, Co, Ni, Rh or Ru to a light-transmitting ZnO-type compound and a method for adjusting ferromagnetic characteristics thereof. More particularly, it relates to a ferromagnetic ZnO-type compound exhibiting desired ferromagnetic characteristics, such as ferromagnetic transition temperature, and a method for adjusting the ferromagnetic characteristics thereof.

20

If it is possible to obtain single-crystalline ferromagnetic thin films exhibiting high ferromagnetic characteristics while transmitting light, it will be possible to provide light isolators or to perform high-density magnetic memory as it is required in transmitting a large amount of information and thus to manufacture electronic materials required for transmission of large amount of information in the future. It is therefore being wanted for materials exhibiting

ferromagnetic characteristics while transmitting light.

On the other hand, ZnO-type compounds are advantaged through their characteristics of exhibiting a large band gap of 3.3 eV and transmitting light of wavelengths ranging from blue to ultraviolet, and due to their large binding energy of their excitons when compared to, for instance, GaN, remarkable progresses are being expected in the field of manufacturing optical devices such as light quantum computers employing coherent spin states once it is possible to exhibit ferromagnetism through these materials. However, while there are conventionally known cases in which ZnO is doped using Mn, ZnO will be in an antiferromagnetic state and no cases have so far been reported in which ZnO-type compounds are in ferromagnetic conditions.

As noted, if it would be possible exhibit ferromagnetic characteristics by using ZnO-type compounds, quite a large variety of applications to devices utilizing magnetic-optical effects will be provided in which these compounds are used in combination with light-emitting elements such as semiconductor laser of ZnO-type compounds exhibiting large binding energy of excitons or in which light reflecting magnetic states are generated.

In case of arranging memories of ferromagnetic bodies by irradiating such light and varying magnetic states, it will be necessary to set ferromagnetic

characteristics to be desired ones in which, for instance,
a ferromagnetic transition temperature (Curie
temperature) is set to be a temperature that is varied upon
radiation of light (a temperature slightly higher than
5 room temperature).

SUMMARY OF THE INVENTION

The invention has been made in view of these
circumstances, and it is an object thereof to provide a
10 ferromagnetic ZnO-type compound exhibiting ferromagnetic
characteristics by using a light-transmitting ZnO-type
compound.

It is another object of the present invention to
provide a method for adjusting ferromagnetic
15 characteristics of the ferromagnetic ZnO-type compound in
obtaining the ferromagnetic ZnO-type compound which
ferromagnetic characteristics such as ferromagnetic
transition temperatures may be adjusted.

The inventors of the present invention have found
20 upon performing various studies for obtaining a
single-crystalline structure with ferromagnetic
characteristics by using a ZnO-type compound that is a
particularly suitable material transmitting light, that
the ion radii of transition elements such as Ti, V, Cr,
25 Mn, Fe, Co or Ni approximate to that of Zn and that
single-crystalline structures may be sufficiently
obtained even by substituting (crystal-mixing) more than

50% of Zn, that even though only antiferromagnetic characteristics may be obtained by crystal-mixing Mn to ZnO, ferromagnetic characteristics may be obtained adding holes or electrons (increasing or decreasing electrons) to the electron condition of Mn in this state (with 5 d-electrons), that similar effects as by adding holes to Mn may be achieved by crystal-mixing Cr or V, which number of d-electrons are less than those of Mn, to the ZnO-type compound, and that similar effects as by doping electrons to Mn may be achieved by crystal-mixing Fe, Co or Ni to the ZnO-type compound, and finally came to find out that by crystal-mixing at least one of transition elements such as V, Cr, Fe, Co, Ni, Rh or Ru to the ZnO-type compound, a stable ferromagnetic condition may be achieved by simply crystal-mixing these metallic elements.

By further continuing these studies, the inventors have found that transition elements such as Ti, V, Cr, Mn, Fe, Co or Ni assume high spin states with electron spins of $5/2$, $4/2$, $3/2$, $2/2$ or $1/2$, that ferromagnetic transition temperatures are variable by varying their densities, performing crystal-mixing by varying combinations or ratios of more than two types thereof or by adding n-type and/or p-type dopants, that the ferromagnetic state may be stabilized than compared to antiferromagnetic or paramagnetic states, that an energy in the ferromagnetic state (for instance, an energy with which the ferromagnetic state may be maintained in an

ordinary condition though an antiferromagnetic state is assumed in the presence of slightest differences) is adjustable, and that desired filtering functions may be exhibited by selectively performing crystal-mixing of more than two types of the above transition metallic elements that exhibit different minimum transmission wavelengths, and finally came to find out that by adjusting densities or mixing ratios of these transition metallic elements, a single-crystalline and ferromagnetic ZnO-type compound exhibiting desired magnetic characteristics may be obtained.

The ferromagnetic ZnO-type compound according to the present invention is a ZnO-type compound containing at least one metal selected from a group of transition metallic elements consisting of V, Cr, Fe, Co, Ni, Rh and Ru.

It should be noted that the term "ZnO-type compound" here denotes an oxide including Zn, a concrete example of which is ZnO, respective oxides of a IIA group element with Zn, a IIB group element with Zn or IIA group element and IIB group element with Zn.

With this structure, with the above-listed transition metallic elements which iron radii approximate to those of II group elements such as Zn, single-crystalline structures of Wurtzite structure may be maintained even upon substituting not less than 50 at% of Zn, and will exhibit ferromagnetic characteristics with

increased holes or electrons than compared to Mn while maintaining its transparency.

By containing at least two types of metals selected from a group consisting of the above metals, Ti, Mn and Cu, conditions of d-electrons of the metallic elements will be respectively different so that ferromagnetic characteristics will be varied in a more direct manner than achieved by doping holes or electrons so that ferromagnetic characteristics such as ferromagnetic transition temperatures may be adjusted.

By performing doping by using at least either one of an n-type dopant or p-type dopant, the dopant will enter a matrix of ZnO so that it will act on d-electrons close to the matrix of ZnO, though their effects will not be so much direct as it is the case with transition element, and holes or electrons are varied to enable adjustments of ferromagnetic characteristics.

According to the method for adjusting ferromagnetic characteristics of a ZnO-type compound, at least one of

- (1) at least one metallic element selected from a group consisting of transition metallic elements of V, Cr, Fe, Co, Ni, Rh and Ru,
- (2) at least two metallic elements selected from a group consisting of the above transition metallic elements, Ti, Mn and Cu, and
- (3) at least one of the above (1), (2), an n-type dopant, and a p-type dopant

is added to the ZnO-type compound for adjusting ferromagnetic characteristics by adjusting densities of the above transition elements, Ti, Mn, Cu or n-type dopant or p-type dopant or by varying combinations of these
5 metallic elements.

Particularly, it is possible to adjust the ferromagnetic transition temperature to be a desired temperature by using at least one method of adjusting the density (the density of transition elements, metallic
10 elements such as Mn and dopants), and varying combinations of metallic elements as listed in above (2); to stabilize the ferromagnetic state by adjusting the ferromagnetic energy by crystal-mixing at least two types of metallic elements as listed in above (2) and by decreasing the
15 entire energy through kinetic energy by holes or electrons introduced by the metallic elements themselves; and to further stabilize the ferromagnetic state by crystal-mixing at least two types of metallic elements as listed in above (2) and by controlling the size and sign of
20 magnetic interaction between metallic atoms through holes or electrons introduced by the metallic elements themselves.

It is further possible to obtain a ferromagnetic ZnO-type compound with desired light-filtering
25 characteristics by crystal-mixing at least two types of metallic elements as listed in above (2), by controlling the size and sign of magnetic interaction between metallic

atoms through holes or electrons introduced by the metallic elements themselves and by controlling transmitting characteristics of light owing to crystal-mixing of metallic elements.

5

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is an explanatory view showing one example of a apparatus for forming a ferromagnetic ZnO-type compound thin film according to the present invention;

10 Fig. 2 is a diagram illustrating differences ΔE between entire energy of antiferromagnetic body and entire energy of ferromagnetic body when a transition element such as V, Cr is crystal-mixed to ZnO;

15 Figs. 3(a) and 3(b) are diagrams illustrating variations in ferromagnetic transition temperatures and magnetic moments upon variations in density of transition element that is crystal-mixed to ZnO;

20 Figs. 4(a) and 4(b) are diagrams illustrating variations in ferromagnetic transition temperatures depending on ratios of crystal-mixing more than two types of transition elements; and

Fig. 5 is an explanatory view showing variations in magnetic states when an n-type or p-type dopant is added to, for instance, Mn.

25

DETAILED DESCRIPTION

The ferromagnetic ZnO-type compound and the method

for adjusting its ferromagnetic characteristics according to the present invention will now be explained with reference to the drawings. The ferromagnetic ZnO-type compound according to the present invention is
5 a ZnO-type compound containing at least one metal selected from a group consisting of transition metallic elements of V, Cr, Fe, Co, Ni, Rh and Ru.

As already described, the inventors of the present invention have found out through various studies for
10 obtaining a ferromagnetic material using a ZnO-type compound that in case of transition elementtic elements V, Cr, Fe, Co, Ni, Rh and Ru, these transition elementtic elements exhibit ferromagnetism by performing crystal-mixing of only these elements in a single manner due to
15 the fact that 3d-electrons are increased/decreased through Mn, which itself is antiferromagnetic, as indicated by the difference ΔE between antiferromagnetic entire energy and ferromagnetic entire energy as illustrated in Fig. 2. While the ratio of mixed crystal
20 to Zn in ZnO is 25 at% in this example, ferromagnetism can also be achieved already with a mixed crystal ratio of several %, causing no damages on crystallinity and transparency though this ratio be increased, and satisfactory ferromagnetism can be achieved with a ratio
25 of 1 at% to 99 at%, preferably 10 at% to 80 at%. The transition metallic element may be used singly or, as it will be described later, by mixed crystal (alloying) more

than two types thereof.

For forming a thin film using such a ZnO-type compound containing a transition metallic element, a substrate 5 that is made, for instance, of sapphire for growing a ZnO-type compound is disposed on a substrate holder 4 within a chamber 1 capable of maintaining an ultrahigh vacuum condition of approximately 1.33×10^{-6} Pa, wherein the substrate 5 may be heated through a heater 7 as illustrated in a schematic explanatory view of a MBE apparatus of Fig. 1. A cell 2a containing therein an elemental material (source) Zn comprising the compound to grow, a cell 2b containing therein a transition element such as Fe (though only one cell is illustrated, more than two cells are provided when crystal-mixing more than two types of metals), a cell 2c containing therein an n-type dopant such as Ga, Al or In, and RF radical cells 3a, 3b for generating radical oxygen O and radical nitrogen N are disposed to oppose the substrate 5 held by the substrate holder 4. It should be noted that solid materials such as Zn or transition metallic elements might assume atomic states by putting oxides of these metals into the cells.

Though not illustrated, the cells 2a to 2c into which the solid bodies (elements) are put are respectively provided with heaters such that solid body sources are put into atomic states for evaporation through heating, and the radical cells 3a, 3b are activated by RF (radio

frequency) coils 8 as shown in the drawing. As for the Zn, transition metallic elements and n-type dopant materials, a solid body source of a purity of 99.99999% is put into an atomic state while an atomic gas of 99.99999% in which O₂ is activated through the RF radical cells is used as O, and N⁺ or N₂ in an excited state is used by activating N₂ molecules or N₂O in the radical cells. It should be noted that Ga or transition metallic elements might also be put in an atomic state by irradiating electromagnetic waves of microwave regions to molecular gas.

While making ZnO grow, Ga serving as an n-type dopant, an atomic N as a p-type dopant, and an atomic transition element such as Fe are simultaneously poured onto the substrate 5 at a flow rate of 1.33×10^{-5} Pa, a flow rate of 6.65×10^{-5} Pa, and a flow rate of 1.33×10^{-5} Pa, respectively, for growing at a temperature of 350 to 750°C in order to grow a ZnO thin film 6 crystal-mixed with a transition metallic element. While doping is exemplarily performed using an n-type dopant or a p-type dopant in the above explanations, no dopants are used for the doping but doping is only performed using transition elements including Mn, Ti and Cu in the examples as illustrated in Fig. 2 as well as Tables 1 and 2 as will be described later.

The ZnO thin film in which V, Cr, Fe, Co and Ni are crystal-mixed exhibits ferromagnetism as shown in Fig. 2

in which V, Cr, Fe, Co and Ni respectively exhibit a difference ΔE between antiferromagnetic energy and ferromagnetic energy of 20×13.6 meV, 15×13.6 meV, 10×13.6 meV, 14×13.6 meV and 18×13.6 meV. It should
5 be noted that the data of Fig. 2 are data obtained through first principle calculation in which simulation is performed using atomic numerals as input parameters.

While the ZnO compound is doped with a transition
elementic element in this example, similar structures as
10 ZnO may be obtained also with ZnO-type compounds in which a part of Zn of ZnO is substituted by other II-group elements such as Mg or Cd, and it is similarly possible to obtain a ferromagnetic single-crystalline structure.

According to the ferromagnetic ZnO-type compound of
15 the present invention, a transition metallic element having ion radius that are substantially identical to that of Zn are crystal-mixed such that Zn^{2+} is substituted by Fe^{2+} or similar of the transition metallic element for maintaining the Wurtzite structure. Moreover, since the
20 above transition metallic elements such as Fe assume electron structures in which d-electrons are increased than compared to Mn, the ferromagnetic state is stabilized as it is as illustrated in Fig. 2. Further, as illustrated in Tables 1 and 2 as will be described later, this
25 ferromagnetic ZnO exhibits a large magnetic moment so that a Fe-containing ZnO-type compound having a larger magnetic moment 4.04×9.274 J/T ($4.04 \mu_B$ (Bohr magneton)) than that

of a Fe element (magnetic moment $2 \times 9.274 \text{ J/T}$ ($2 \mu_B$)), and it is possible to obtain a ferromagnetic magnet with extremely strong magnetism.

Variations in magnetic characteristics have then
5 been tested by varying densities of transition metallic
elements. In addition to the above examples in which
transition elementlic metals of a density of 25 at% are
contained, those of a density of 50 at% were manufactured
for testing respective magnetic moments ($\times 9.274 \text{ J/T}$) and
10 ferromagnetic transition temperatures (degree K). The
magnetic moments and ferromagnetic transition
temperatures have been obtained by measuring magnetizing
rates using a SQUID (super conducting quantum interference
device). The results are shown in Tables 1 and 2. It is
15 obvious from Tables 1 and 2 that the ferromagnetic
transition temperature tends to rise the higher the
crystal-mixing ratio becomes (the higher the density
becomes) and increases substantially proportional to the
crystal-mixing ratio. This relationship is shown in Fig.
20 3. It can further be understood that the ferromagnetic
interaction between spins is also increased in accordance
with increased in densities of transition elementlic
elements and that the magnetic moments increase.

Table 1: Magnetic characteristics with transition elements of 25 at%

Type of transition element	Density of transition element (at%)	Magnetic moment (μ_B)	Ferromagnetic transition temperature (degree K)
V	25	2.42	451
Cr	25	3.80	600
Fe	25	4.04	786
Co	25	2.96	528
Ni	25	1.88	389

Table 2: Magnetic characteristics with transition elements of 50 at%

Type of transition element	Density of transition element (at%)	Magnetic moment (μ_B)	Ferromagnetic transition temperature (degree K)
V	50	2.58	681
Cr	50	3.95	792
Fe	50	4.02	959
Co	50	2.97	765
Ni	50	1.89	698

As already described, the transition metallic elements will assume high spin states with electron spins s of $5/2$, $4/2$, $3/2$, $2/2$, $1/2$, and as it is further evident from the Tables 1 and 2 as well as Figs. 3(a) and 3(b), it is possible to adjust and control the ferromagnetic interaction between spins and the ferromagnetic temperatures by varying the densities. It should be noted that it is preferable in view of practical use that the ferromagnetic transition temperature be not less than 150 degree K.

The inventors of the present invention have further

found out that it is possible to adjust conditions of holes or electrons and to concurrently exhibit respective magnetic characteristics by crystal-mixing more than two types of transition metallic elements. For example, Fe and Mn were crystal-mixed to be 25 at% as a whole and values x of $\text{Fe}_{0.25-x}\text{Mn}_x\text{Zn}_{0.75}\text{O}$ were respectively varied. It was consequently possible to largely vary the ferromagnetic transition temperature, to be 0 degree K when $x=0.15$ is satisfied, and to select a range for x between 0 to 0.15 for setting a desired ferromagnetic transition temperature as illustrated in Fig. 4(a). By similarly crystal-mixing Fe and Co to be 25 at% and respectively varying values x of $\text{Fe}_{0.25-x}\text{Co}_x\text{Zn}_{0.75}\text{O}$, ferromagnetic transition temperatures may be varied while maintaining a ferromagnetic state as illustrated in Fig. 4(b). Though not shown in the drawings, it is also possible to obtain magnetic moments in accordance with respective mixing ratios.

While ferromagnetic characteristics were varied by doping not less than two types of transition metallic elements in the above examples, the amount of holes or electrons may similarly be varied by doping an n-type dopant or a p-type dopant for varying the ferromagnetic states. In this case, the n-type or p-type dopant will enter a conduction band or a valence band of ZnO to act on adjoining d-electrons of transition metallic elements such that not all of the doped dopants will act as a whole,

the action on the d-electrons will also cause variations in ferromagnetic states and also vary ferromagnetic transition temperatures. Doping, for instance, an n-type dopant also means supply of electrons so that doping an
5 n-type dopant while crystal-mixing Fe will cause the same effects as adding Co further to Fe, and that doping a p-type dopant to Fe will cause the same effects as adding Mn to Fe.

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10 A relationship of ΔE of Mn to a density of impurities (at%), in which Mn, which variations in ΔE obtained by subtracting ferromagnetic energy from antiferromagnetic energy according to doping the n-type or p-type dopant (electrons or holes) are remarkable, is crystal-mixed to ZnO and is further doped with the impurities (holes or
15 electrons) is illustrated in Fig. 5. By introducing holes at a ratio of not less than approximately 10%, the antiferromagnetic state will be converted to a ferromagnetic state, the ferromagnetic characteristics will be varied in accordance with densities thereof, and
20 to adjust the ferromagnetic characteristics thereof. Other transition metallic elements originally exhibit ferromagnetism so that no large differences exist between antiferromagnetism and ferromagnetism, but the ferromagnetic states may be similarly varied and the
25 ferromagnetic transition temperatures may be adjusted. It should be noted that unlike the above adjustments performed by crystal-mixing not less than two types of

transition metallic elements, magnetic moments themselves will maintain constant values adjustments depending on transition elements materials that are crystal-mixed to ZnO in case of performing adjustments
5 using dopants.

The n-type dopant may be B, Al, In, Ga, Zn or H, and raw materials for the doping may also be oxides of the above. The donor density is preferable not less than $1 \times 10^{18} \text{ cm}^{-3}$. In case doping is performed in a density of approximately
10 10^{20} to 10^{21} cm^{-3} , it will correspond to a crystal-mixing ratio of approximately 1 to 10%. The p-type dopant may be atomic like N, as explained above, such as N^+ or N_2 in an excited state. While it is hard to perform doping using a p-type dopant, the p-type density may set to be large
15 by simultaneously doping a slight amount of n-dopant.

Upon performing further studies, the inventors have found out that minimum transmitted wavelengths differ depending on transition elementlic materials to be crystal-mixed to ZnO, that the minimum wavelength of
20 transmitted light is adjustable by mixing not less than two types of transition metallic elements to be crystal-mixed, and that light filter for cutting light having a wavelength that is not more than a desired one may be formed. In other words, a ferromagnetic ZnO-type
25 compound that transmits light of a desired wavelength may be obtained. The minimum wavelengths of light to be transmitted when crystal-mixing 25 at% of the respective

transition elementlic elements to ZnO are as illustrated in the Table 3 below.

Table 3: Minimum wavelengths of light to be transmitted when crystal-mixing transition elements

Type of transition element	Density of transition element (at%)	Minimum wavelength (nm)
ZnO:V	25	375
ZnO:Cr	25	426
ZnO:Fe	25	412
ZnO:Co	25	415
ZnO:Ni	25	390
ZnO:Mn	25	485

In other words, according to this example, it is possible to obtain a ferromagnetic magnet that transparent to light of a desired wavelength.

As explained so far, the entire energy may be varied in the present invention owing to kinetic energy of holes or electrons introduced by metallic elements themselves that are crystal-mixed, and since the holes or electrons that are introduced are adjusted to decrease the entire energy, the ferromagnetic state may be stabilized. The size and sign of magnetic interaction between metallic atoms are varied by introducing holes or electrons, and by controlling these through the holes and electrons, the ferromagnetic state may be stabilized.

While a MBE (molecular beam epitaxy) apparatus is used for performing the method of forming a thin film of ZnO-type compound containing therein transition metallic elements in the above example, it is similarly possible

to perform film forming by using a MOCVD (metal organic chemical vapor deposition) apparatus. In this case, metallic materials such as Zn or transition element are introduced into the MOCVD apparatus in a form of an organic metallic compound such as dimethyl zinc. By using such MBE or MOCVD methods, it is possible to perform film forming in a non-equilibrium state and to dope transition metallic elements at desired densities. The film forming methods for the thin films are not limited to the above methods, and it is alternatively possible to form thin films through a laser abrasion method in which Zn oxide solid bodies, transition metallic element metals or solid bodies of oxides are used as targets and wherein film forming is performed while irradiating activated oxygen on the substrate.

In case of performing doping using transition metallic elements or oxides thereof as raw materials, it is also possible to use ECR plasma in which atomic states are assumed through electron excitation using radio frequency waves, laser, X rays or electron beams. ECR plasma may also be employed when using an n-type or p-type dopant. Such ECR dopant is advantaged in that doping may be performed in a atomic state up to a high density.

According to the present invention, a ferromagnetic single-crystalline structure may be obtained by simply making the ZnO-type compound contain transition metallic elements, application to high-performance communication

of information and quantum computers is enabled for use in quantum computers and large-capacity optical magnetic memory and as optical electronics materials covering a scope ranging from visible-light to ultraviolet regions by combining these with ZnO that are already realized and used as n-type and p-type transparent electrodes, or with optical fibers.

Although preferred example have been described in some detail it is to be understood that certain changes
10 can be made by those skilled in the art without departing from the spirit and scope of the invention as defined by the appended claims.

What is claimed is:

1. A ferromagnetic ZnO-type compound, wherein a ZnO-type compound contains at least one metal selected from a group of transition metallic elements consisting of V, Cr, Fe, Co, Ni, Rh and Ru.

2. The ferromagnetic ZnO-type compound of claim 1, wherein said ZnO-type compound contains at least two types of metals selected from a group consisting of said transition metallic elements, Ti, Mn and Cu.

3. The ferromagnetic ZnO-type compound of claim 1, wherein said ZnO-type compound is doped at least either one of n-type dopant and p-type dopant.

4. A ferromagnetic ZnO-type compound, wherein a ZnO-type compound is added with at least one of
(1) at least one metallic element selected from a group consisting of transition metallic elements of V, Cr, Fe, Co, Ni, Rh or Ru,
(2) at least two metallic elements selected from a group consisting of said transition metallic elements, Ti, Mn and Cu, and
(3) at least one of said (1), (2), an n-type dopant, and a p-type dopant

such that a desired ferromagnetic transition temperature is achieved.

5. A ferromagnetic ZnO-type compound in which addition of any one of (1) to (3) of claim 4 is performed to exhibit desired light-filtering characteristics.

6. A method for adjusting ferromagnetic characteristics of a ferromagnetic ZnO-type compound, wherein at least one of

(1) at least one metallic element selected from a group consisting of transition metallic elements of V, Cr, Fe, Co, Ni, Rh or Ru,

(2) at least two metallic elements selected from a group consisting of said transition metallic elements, Ti, Mn and Cu, and

(3) at least one of said (1), (2), an n-type dopant, and a p-type dopant

is added to said ZnO-type compound for adjusting ferromagnetic characteristics by adjusting densities of said transition metallic elements, Ti, Mn, Cu or n-type dopant or p-type dopant or by varying combinations of these metallic elements.

7. The adjusting method of claim 6, wherein the ferromagnetic transition temperature is adjusted to a desired temperature using at least one method by adjusting the density or by varying combinations of metallic elements as listed in said (2).

8. The adjusting method of claim 6, wherein the ferromagnetic state is stabilized by adjusting ferromagnetic energy by crystal-mixing at least two types of metallic elements as listed in said (2) and by decreasing the entire energy through kinetic energy by holes or electrons introduced by said metallic elements

themselves.

9. The adjusting method of claim 6, wherein the ferromagnetic state is stabilized by crystal-mixing at least two types of metallic elements as listed in said (2) and by controlling the size and sign of magnetic interaction between metallic atoms through holes or electrons introduced by said metallic elements themselves.

10. The adjusting method of claim 6, wherein a ferromagnetic ZnO-type compound with desired light-filtering characteristics is obtained by crystal-mixing at least two types of metallic elements as listed in said (2), by controlling the size and sign of magnetic interaction between metallic atoms through holes or electrons introduced by said metallic elements themselves and by controlling transmitting characteristics of light owing to crystal-mixing of said metallic elements.

Abstract of the Disclosure

The ZnO-type compound contains at least one metal selected from a group of transition elements consisting of V, Cr, Fe, Co, Ni, Rh and Ru. Ferromagnetic characteristics are adjusted by adjusting densities of these transition elements, by varying combinations of more than two types of metals including Mn, or by adding dopants. It is consequently possible to obtain a ferromagnetic ZnO-type compound exhibiting ferromagnetic characteristics by using a light-transmitting ZnO-type compound, and to obtain a ferromagnetic ZnO-type compound exhibiting desired ferromagnetic characteristics.

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FIG. 1

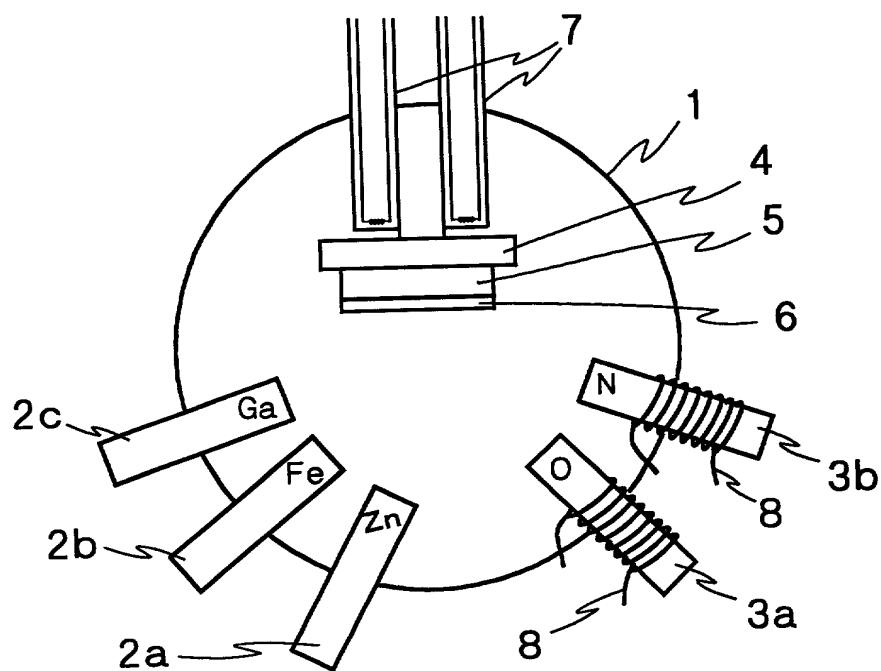


FIG. 2

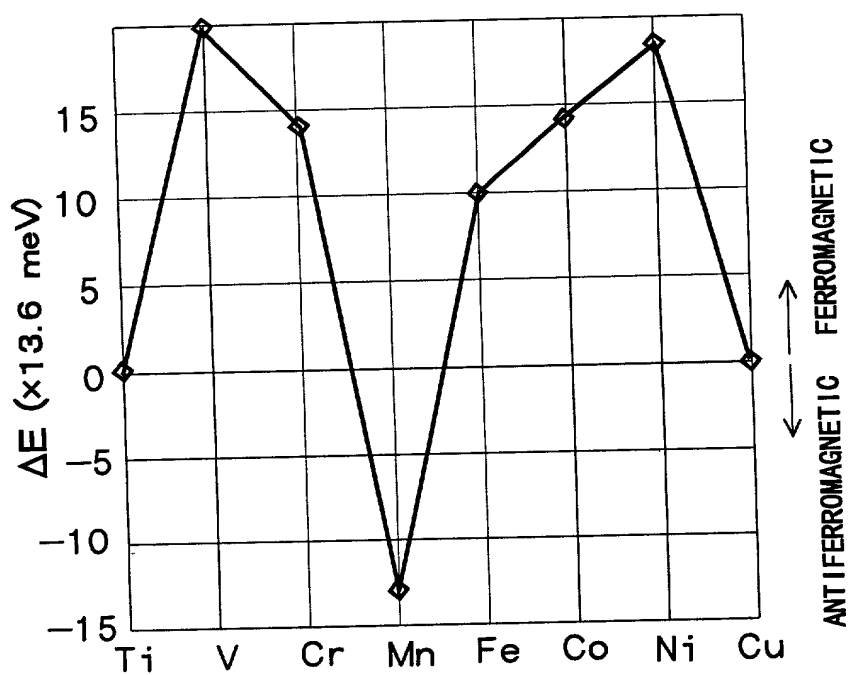


FIG. 3 (a)

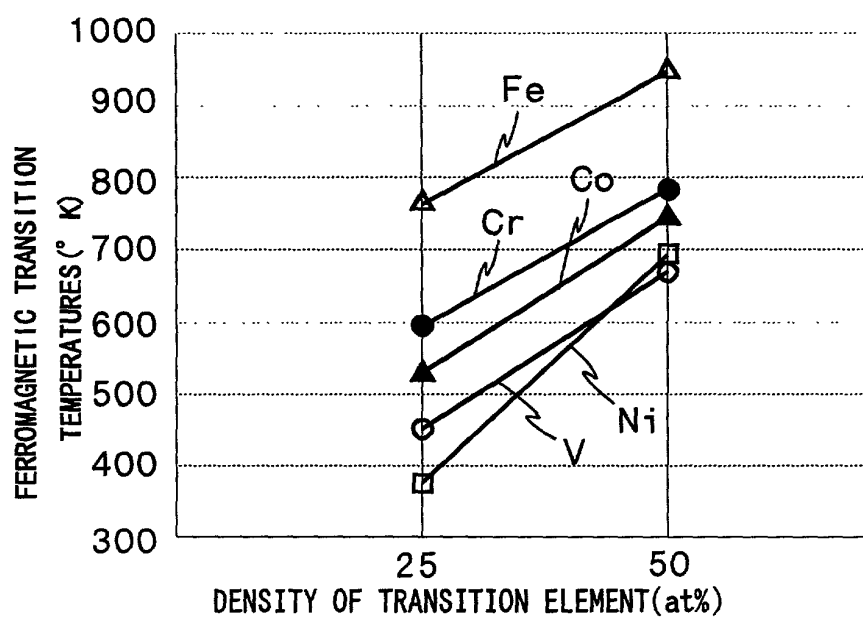


FIG. 3 (b)

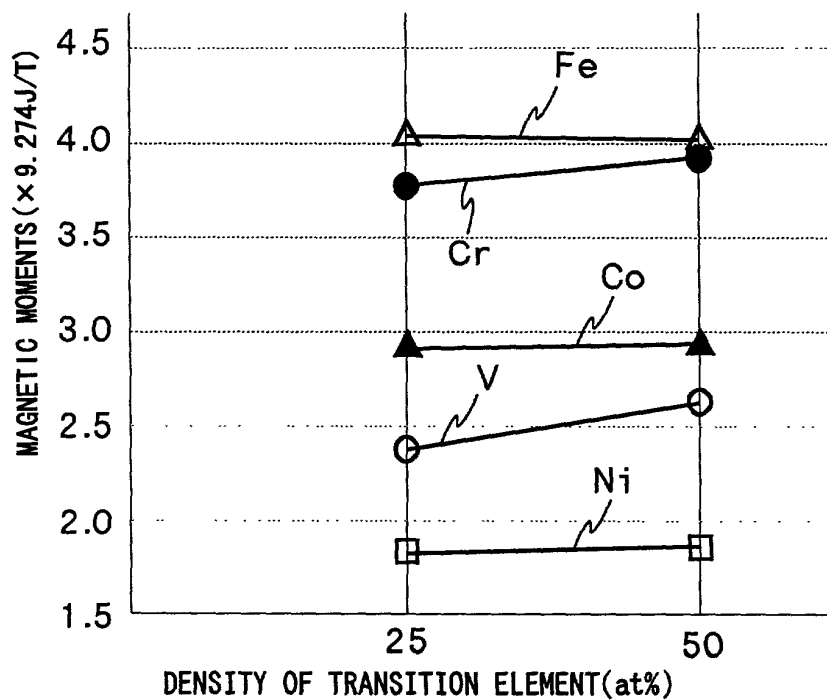


FIG. 4 (a)

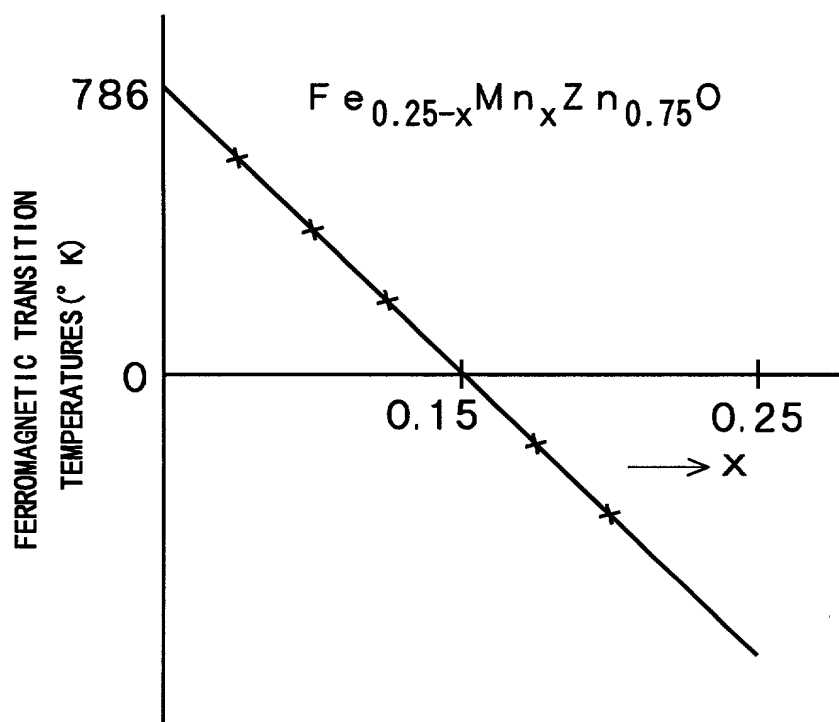


FIG. 4 (b)

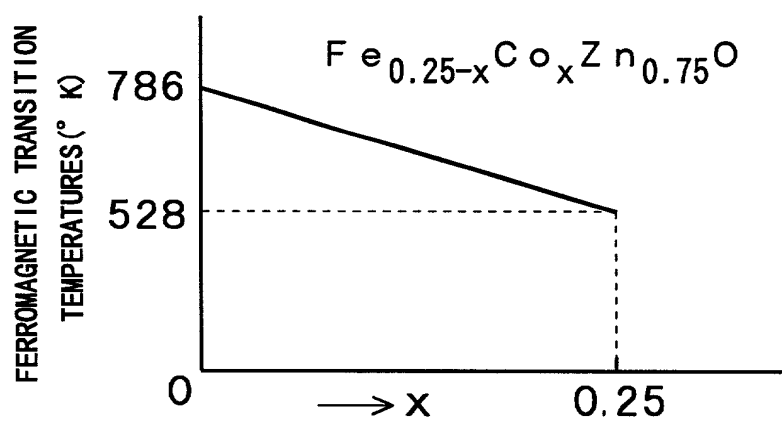
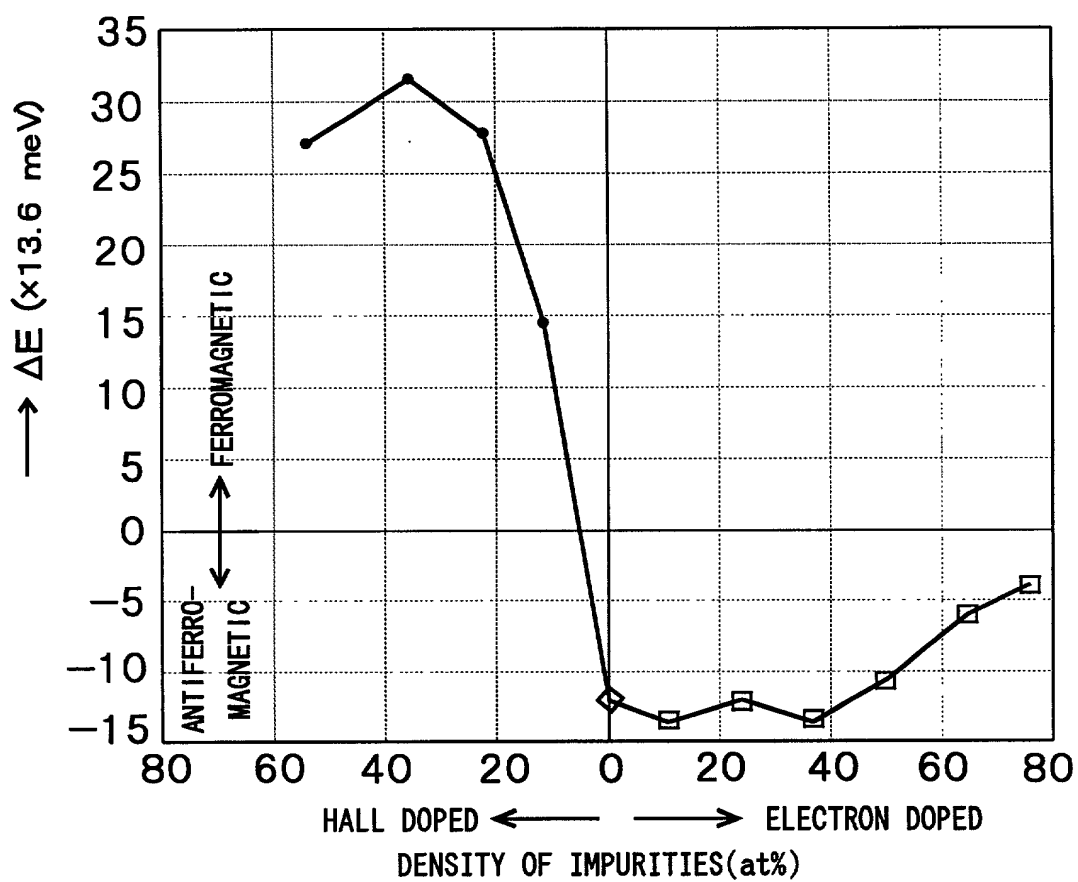


FIG. 5



Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

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I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

FERROMAGNETIC ZnO-TYPE COMPOUND INCLUDING

TRANSITION METALLIC ELEMENT AND METHOD FOR

ADJUSTING FERROMAGNETIC CHARACTERISTICS THEREOF

上記発明の明細書（下記の欄でx印がついていない場合は、本書に添付）は、

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_____ and was amended on
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Prior Foreign Application(s)

外国での先行出願

308911/1999

Japan

29/10/1999

Priority Not Claimed

優先権主張なし

(Number)
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(Country)
(国名)

(Day/Month/Year Filed)
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(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可済、係属中、放棄済)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

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POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (list name and registration number)

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国籍		Citizenship	
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国籍		Citizenship	
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住所		Residence	
国籍		Citizenship	
私書箱		Post Office Address	

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

YOSHIDA et al.

Serial Number: New Application

Filed: October 26, 2000

For: FERROMAGNETIC ZnO-TYPE COMPOUND INCLUDING TRANSITION
METALLIC ELEMENT AND METHOD FOR ADJUSTING
FERROMAGNETIC CHARACTERISTICS THEREOF

NOTIFICATION OF CHANGE OF NAME AND ADDRESS

Commissioner for Patents
Washington, D.C. 20231

October 26, 2000

Sir:

Kindly change the correspondence name and address for the above-identified
application to the following:

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Should any fees be due with respect to this paper, please charge Counsel's Deposit
Account No. 01-2300.

Respectfully submitted,

ARENT FOX KINTNER PLOTKIN & KAHN



By: George E. Oram, Jr.
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10/30/2000 EFLGRES 00000002 09696013

02 FC:581

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